

J1131 U.S. PTO
12/96/01

JC791 U.S. PTO
12/06/01

S&H Form: PTO/SB/05 (2/01)

UTILITY PATENT APPLICATION		Attorney Docket No. 469.1091 (DMP)
TRANSMITTAL (Only for original applications)		First Named Inventor or Application Identifier: Roger J.W. CROAD et al.
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		Express Mail Label No.
		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification, Claims & Abstract[Total Pages: <u>22</u>] [FIGS. <u>1-18</u>]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)[Total Sheets: <u>17</u>] [FIGS. <u>1-18</u>]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration[Total Pages: <u>3</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Verified Statement Claiming Small Entity Status</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76</p> <p>7. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>II. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>		
ACCOMPANYING APPLICATION PARTS		
9. <input checked="" type="checkbox"/> Assignment (cover sheet & document(s)) to Oxford Instruments Plasma Technology Limited and Universitat Gesamthochschule Kassel of Oxfordshire, United Kingdom and Kassel, Germany <input checked="" type="checkbox"/> for publication of assignee information under 37 CFR 1.215(b)		
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (<i>when there is an assignee</i>) <input type="checkbox"/> Power of Attorney		
11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)		
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations		
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)		
15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)		
16. <input type="checkbox"/> Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent		
17. <input type="checkbox"/> Other:		
18. CORRESPONDENCE ADDRESS		
 21171 PATENT TRADEMARK OFFICE		

12/06/01



S&H Form (2/01)

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	469.1091 (DMP)
		Application Number	
		Filing Date	December 6, 2001
AMOUNT ENCLOSED	\$ 780.00	First Named Inventor	Roger J.W. CROAD et al.

**FEES CALCULATION (fees effective 10/01/00)**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	X \$ 18.00 =	\$ 0.00	
INDEPENDENT CLAIMS	1 - 3 =	0	X \$ 84.00 =	0.00	
MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00		
		BASIC FILING FEE		740.00	
		Total of above Calculations =		\$ 740.00	
Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
Reduction by 50% for filing by small entity (37 CFR 1.27)					
		TOTAL FILING FEE =		\$ 740.00	
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				40.00	
		TOTAL FEES DUE =		\$ 780.00	

METHOD OF PAYMENT

Check enclosed as payment.
 Charge "TOTAL FEES DUE" to the Deposit Account No. below.
 No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

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Deposit Account Name	STAAS & HALSEY LLP

The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	David M. Pitcher	Reg. No.	25,908
Signature		Date	December 6, 2001

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Attorney Docket No. 469.1091 (DMP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Roger J.W. CROAD et al.

Application No.:

Group Art Unit: Unassigned

Filed: December 6, 2001

Examiner: Unassigned

For: SUBSTRATE LOADING APPARATUS



**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN
APPLICATION IN ACCORDANCE
WITH THE REQUIREMENTS OF 37 C.F.R. § 1.55**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.55, the applicant(s) submit(s) herewith a certified copy of the following foreign application:

European Patent Application No. 00311559.9

Filed: December 21, 2000

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing date(s) as evidenced by the certified papers attached hereto, in accordance with the requirements of 35 U.S.C. § 119.

Respectfully submitted,

STAAS & HALSEY LLP

By:

David M. Pitcher
Registration No. 25,908

Date: December 6, 2001

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